

Isospin symmetry breaking and the neutron-proton mass difference

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Abstract

QCD sum rules using polynomial kernels are used to evaluate the strong part of the proton-neutron mass difference δM_{np} in a model independent fashion. The result for the mass difference turns out to depend sensitively on the value of the four quark condensate $\langle(\bar{q}q)^2\rangle$ and reproduces the experimental value of δM_{np} for $\langle(\bar{q}q)^2\rangle \sim 2\langle\bar{q}q\rangle^2$.

1 Introduction

The QCD sum rule method introduced by Shifman, Vainshtein and Zakharov [1] has extended the applicability of QCD far beyond simple perturbation theory. The method was adapted to the case of nucleons by Ioffe [2] and independently by Chung, Dosch, Kremer and Schall [3]. These authors showed how to approach one of the fundamental problems of hadronic physics, the calculation of the baryon masses from the Lagrangian and the vacuum condensates of QCD.

Several authors undertook the study of the neutron-proton mass δm_{np} difference using the external field method of Ioffe and Smilga [2]. These authors use Borel (Laplace) transforms of correlators in order to suppress the unknown contributions of the continua which appear in dispersion integrals.

I present here a different approach which uses instead as kernels in the dispersion integrals simple polynomials the coefficients of which are determined by the general shape of the physical spectrum and which, as will be shown, offer stronger damping of the unknown parts of the spectrum in addition to better stability.

2 The Calculation

It has been known since the early days of QCD that the mass splitting of hadrons in an isospin multiplet arises from two sources: virtual photon exchange, which can be related to virtual photon scattering by the Cottingham formula [4] and isospin symmetry breaking which arises from the difference between the masses of the light quarks m_u and m_d as well as the difference between the light quark condensates $\langle \bar{u}u \rangle$ and $\langle \bar{d}d \rangle$.

Let us start by a reminder of how electromagnetic and strong parts of the mass splittings arise [7]

The quark mass term in the QCD Hamiltonian density reads

$$H(x) = m_u \bar{u}u + m_d \bar{d}d + \dots \quad (1)$$

The effect of turning on electromagnetism is described by the additional term

$$H_{em}(x) = \frac{e^2}{2(2\pi)^4} \int \frac{d^4 q}{q^2} \int d^4 x e^{iqx} T j_\mu(x) j_\mu(0) \quad (2)$$

which accounts for virtual photon exchange.

$$j_\mu = \frac{1}{3} \bar{u} \gamma_\mu u - \frac{2}{3} \bar{d} \gamma_\mu d \quad (3)$$

is the electromagnetic current expressed in terms of quark fields.

Following Cottingham [4] eq. (1) can be transformed into

$$\begin{aligned}
H_{em}(0) &= \frac{e^2}{8\pi^2} \int_0^\infty \frac{dQ^2}{Q^2} \int_{-Q}^Q dq_0 \sqrt{Q^2 - q_0^2} \cdot i \int d^4x e^{iqx} T j_\mu(x) j_\mu(0) \\
&= \frac{e^2}{8\pi^2} \int_0^\lambda \frac{dQ^2}{Q^2} \int_{-Q}^Q dq_0 \sqrt{Q^2 - q_0^2} \cdot i \int d^4x e^{iqx} T j_\mu(x) j_\mu(0) \quad (4) \\
&+ \frac{e^2}{8\pi^2} \int_\lambda^\infty \frac{dQ^2}{Q^2} \int_{-Q}^Q dq_0 \sqrt{Q^2 - q_0^2} \cdot i \int d^4x e^{iqx} T j_\mu(x) j_\mu(0)
\end{aligned}$$

where $Q^2 = -q^2$ and λ is a divider between small and large values of Q^2 .

Matrix elements of the low energy part of the low energy contribution to eq. (4) can be obtained from experimental observation, the insertion of intermediate states, etc., this term we call $H_{coul}^\lambda(0)$, the "Coulomb" part. In the (divergent) high energy part we can use the operator product expansion (OPE) of the electromagnetic currents with the result

$$H_{em}(0) = H_{coul}^\lambda(0) + \frac{e^2}{6\pi^2} \ln \frac{\Lambda^2}{\lambda^2} \cdot m_d \bar{d}d - \frac{e^2}{24\pi^2} \ln \frac{\Lambda^2}{\lambda^2} \cdot m_u \bar{u}u + \dots \quad (5)$$

with Λ an ultraviolet cutoff.

When this is added to expression (1) the divergent terms are absorbed in the renormalized masses and the Hamiltonian density takes the form

$$H(0) = H_{coul}(0) + \frac{(m_u + m_d)}{2} \cdot (\bar{u}u + \bar{d}d) + \frac{(m_u - m_d)}{2} \cdot (\bar{u}u - \bar{d}d) \quad (6)$$

The first and last terms in the equation above break isospin symmetry and contribute to the neutron-proton mass difference δM_{np} .

$$\delta M_{np} = \langle p | H_{coul}(0) | p \rangle + \frac{\delta m}{2M_p} \cdot \langle p | u_3 | p \rangle = \delta M_{coul} + \delta M_q \quad (7)$$

with

$$\delta m = m_d - m_u$$

$$u_3 = \bar{u}u - \bar{d}d \quad (8)$$

This separation depends of course on the value of the divider λ but this dependence is extremely weak: the relative change in the value of δM_q for two values of λ_1 and λ_2 is

$$\sim 1.5 * 10^{-3} \ln \frac{\lambda_2^2}{\lambda_1^2} \quad (9)$$

δM_{coul} has been estimated a long time ago [5]. A recent evaluation [6] which is here adopted is

$$\delta M_{coul} = (-1.30 \pm .50) MeV \quad (10)$$

The measured value $\delta M_{np} = 1.29 MeV$ yields then

$$(\delta M_q)_{\text{exp}} = (2.60 \pm .50) MeV \quad (11)$$

The theoretical expression is given by eq. (7)

$$\delta M_q = \delta m U \quad (12)$$

with

$$U = \langle p | u_3 | p \rangle / 2M_p \quad (13)$$

The aim of the present calculation is to evaluate U using the asymptotic forms of the various matrix elements given by QCD and the incomplete available knowledge about the nucleon continuum with an emphasis on the stability of the calculation. For this purpose I follow the external field approach of Ioffe and Smilga [2] in which the quarks are coupled to a weak external scalar field $S(x)$ through an additional term to the QCD Lagrangian

$$\Delta L = -S(x) \cdot (\bar{u}u - \bar{d}d) \quad (14)$$

$S(x)$ can be taken a constant. The correlation function of the nucleon currents in the presence of $S(x)$ is

$$\Pi(S, q) = i \int d^4x e^{iq \cdot x} \langle 0 | T \eta_p(x) \bar{\eta}_p(0) | 0 \rangle_S \quad (15)$$

where $\eta_p(x)$ is the proton interpolating field of Ioffe[2]

$$\eta_p(x) = \epsilon_{abc} U_a^T(x) C \gamma_\mu U_b(x) \gamma_5 \gamma_\mu d_c(x) \quad (16)$$

where a, b, c stand for colour indices and $C = -C^T$ is the charge conjugation matrix. Lorentz covariance and parity allow the decomposition

$$\Pi(S, q) = \Pi^1(S, q) + \gamma \cdot q \Pi^q(S, q) \quad (17)$$

To first order in S the two invariant functions can be written as

$$\Pi^i(S, q) = \Pi_0^i(q^2) + S \Pi_1^i(q^2) \quad (18)$$

where Π_0^i denote the invariant functions in the absence of the external field and Π_1^i are the linear responses to the latter which, in QCD, can be expressed via the OPE to various vacuum condensates.

The external field will contribute in two different ways: by directly coupling to the nucleon fields which enter in the nucleon current and by polarizing the QCD vacuum. This will introduce a susceptibility χ which describes the response of the quark condensates to S ,

$$\begin{aligned} \langle \bar{u}u \rangle_S &= \langle \bar{u}u \rangle - \chi S \langle \bar{u}u \rangle \\ \langle \bar{d}d \rangle_S &= \langle \bar{d}d \rangle + \chi S \langle \bar{d}d \rangle \end{aligned} \quad (19)$$

where $\langle \bar{q}q \rangle = \langle 0 | \bar{q}q | 0 \rangle$
Using eq. (13) one obtains

$$\chi \langle \bar{u}u \rangle = \frac{i}{2} \int d^4x \langle 0 | T u_3(x) u_3(0) | 0 \rangle \quad (20)$$

and, treating the term $\frac{1}{2} \delta m u_3$ in the QCD Lagrangian as a source term yields [8]

$$\chi \delta m = -\gamma + O(\delta m^2) \cong -\gamma \quad (21)$$

with $\gamma = \frac{\langle \bar{u}u \rangle}{\langle \bar{d}d \rangle} - 1$ a measure of isospin violation in the light quark condensates.

The QCD expression for $\Pi_1^q(t)$ has been evaluated in [8]

$$\Pi_1^q(t) = \left(C_0 \ln(-t) + \frac{C_1}{t} + \frac{C_2}{t^2} + \dots \right) \quad (22)$$

with

$$\begin{aligned} C_0 &= \frac{\langle \bar{q}q \rangle}{4\pi^2}, \\ C_1 &= \frac{4}{3} \chi \langle (\bar{q}q)^2 \rangle - \frac{m_0^2}{24\pi^2} \langle \bar{q}q \rangle, \\ C_2 &= (\chi + \chi_m) m_0^2 \langle \bar{q}q \rangle^2 \end{aligned} \quad (23)$$

χ_m denotes the response of the gluon condensate to the external field and, to a good approximation $\chi_m = \chi$ [8],

$$m_0^2 = \frac{\langle g_s \bar{q} \vec{\sigma} \cdot \vec{G} q \rangle_0}{\langle \bar{q}q \rangle} \cong (.80 \pm .20) GeV^2 \quad (24)$$

At low energies $\Pi_1^q(t)$ has double and single isolated poles at the nucleon mass squared as well as a cut on the positive real axis starting at $t_{th} = (M_p + m_\pi)^2$

$$\Pi_1^q(t) = \frac{-2\lambda^2 M_p U}{(t - M_p^2)^2} + \frac{A}{(t - M_p^2)} + \dots \quad (25)$$

where λ is the coupling of the nucleon to its current

$$\langle 0 | \eta_p | p \rangle = \lambda U_p \quad (26)$$

In order to relate the residue U to the QCD condensates consider the integral of the product $\Pi_1^q(t) \cdot F(t)$ over a contour C in the complex t plane. The contour C consists of two straight lines parallel to the cut, sandwiching it from above and below, and running from threshold to a value R and a circle of radius R taken large enough to allow the replacement of $\Pi_1^q(t)$ by its asymptotic form eq. (21) on it.

$F(t)$ is a so far arbitrary entire function. Using next Cauchy's theorem we obtain an expression relating the residue at the pole to an integral over the cut plus an integral over the circle where the QCD expression for the amplitude can be used. The integral over the cut cannot be calculated as the information on the integrand over the continuum is scarce. We shall use the arbitrariness in the choice of $F(t)$ to minimize this contribution so it can be safely neglected.

First take

$$F(t) = \left(1 - \frac{t}{M_p^2}\right) P(t) \quad (27)$$

in order to eliminate the contribution of the simple pole which represents nucleon to continuum transitions. Cauchy's theorem then yields

$$2\lambda^2 M_p U.P(M_p^2) = \frac{1}{\pi} \int_{th}^R dt F(t) \text{Im} \Pi_1^q(t) + \frac{1}{2i\pi} \oint dt F(t) (\Pi_1^q(t))_{QCD} \quad (28)$$

The second integral in the expression above runs over the circle of large radius R .

The coupling λ itself can be obtained from the nucleon mass sum rule [2][3][9] using $P(t)$ as an integration kernel with the result

$$-M_p \lambda^2 P(M_p^2) = \frac{1}{\pi} \int_{th}^R dt P(t) \text{Im} \Pi_2(t) + \frac{1}{2i\pi} \oint dt P(t) \Pi_2^{QCD}(t) \quad (29)$$

with

$$\Pi_2^{QCD}(t) = B_3 t \ln(-t) + \frac{B_7}{t} + \frac{B_9}{t^2} + \dots \quad (30)$$

$$\begin{aligned} B_3 &= \frac{1}{4\pi^2} \langle \bar{q}q \rangle \left(1 + \frac{3}{2} a\right) \\ B_7 &= -\frac{1}{12} \langle \bar{q}q \rangle \langle aGG \rangle \\ B_9 &= 4\pi^2 \cdot 136a \langle \bar{q}q \rangle^3 \end{aligned} \quad (31)$$

$a = \alpha_S/\pi$ is the strong coupling constant. The ratio of eqs. (28) and (29) yields the residue U of interest.

Not enough experimental data is available to allow the evaluation of the first integrals on the r.h.s. of eqs. (27) and (28), only the positions of the $\frac{1}{2}^+$ and $\frac{1}{2}^-$ resonances are known and the background is impossible to model realistically.

The choice of the function $P(t)$ aims at reducing this contribution as much as possible in order to allow its neglect. In the vast domain of QCD sum rules the usual choice would be $P(t) = \exp(-t/M^2)$ where the magnitude of M (the Borel mass) determines the strength of the damping of the contribution of

the integrals over the continuum. If M is small the damping is good but the contribution of the unknown terms in the QCD asymptotic expansion of the amplitudes increases rapidly. If M increases the contribution of the unknown terms decreases but the damping worsens. An intermediate value of M has to be chosen from stability conditions which are not always met.

I advocate here another choice for the integration kernel which stems from the observation of the spectrum of the positive and negative parity nucleon resonances N(1440), N(1535), N(1650) and N(1710). The position of these resonances imply that the main contribution to the integrals over the nucleon continuum arises from the interval $I : 2.0GeV^2 \lesssim t \lesssim 3.0GeV^2$. The choice I adopt for the damping polynomial is

$$P(t) = 1 - a'_1 t - a'_2 t^2 \quad (32)$$

The coefficients a'_1 and a'_2 are chosen so as to practically annihilate the contribution of the continuum on the interval I , e.g. they can be chosen so as to minimize the integral $\int_I dt P(t)^2$. This choice reduces the relative contribution of the integrals over the continua to only a few percent of their initial values and allows their neglect. Numerically

$$\begin{aligned} a'_1 &= .807GeV^{-2}, \\ a'_2 &= -.160GeV^{-4} \end{aligned} \quad (33)$$

We have thus

$$F(t) = 1 - a_1 t - a_2 t^2 - a_3 t^3 \quad (34)$$

with

$$\begin{aligned} a_1 &= 1.947GeV^{-2}, \\ a_2 &= -1.084GeV^{-4}, \\ a_3 &= .184GeV^{-6} \end{aligned} \quad (35)$$

If the contribution of the nucleon continua are then neglected eqs. (28) and (29) become

$$2\lambda^2 \frac{U}{M_p} P(M_p^2) = C_0 \left(1 - \frac{a_1}{2} R - \frac{a_2}{3} R^2 - \frac{a_3}{4} R^3\right) + C_1 - a_1 C_2 \quad (36)$$

$$\lambda^2 M_p P(M_p^2) = -B_3 R^2 \left(\frac{1}{2} - \frac{a'_1}{3} R - \frac{a'_2}{4} R^2\right) - B_7 + a'_1 B_9 \quad (37)$$

the ratio of which yields U .

The choice of R is dictated by stability considerations, it should be large enough to allow the use of the QCD expressions in the integrals over the circle of radius R but not too large to invalidate the neglect of the integral over

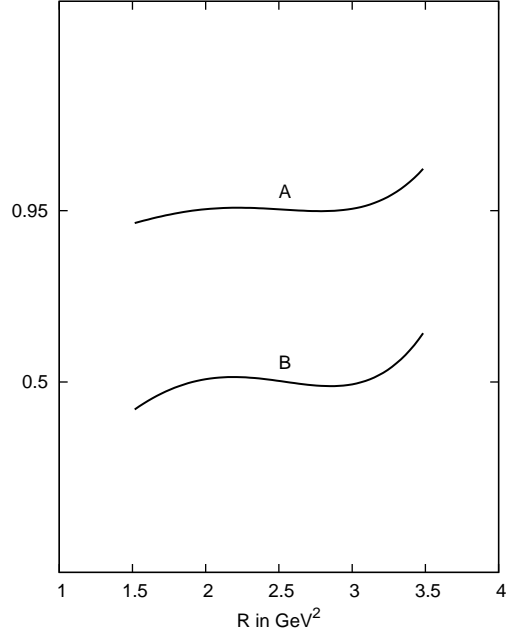


Figure 1: The right hand sides of (36) (A) and of (37)(B) as a function of R (not normalized)

the continuum. The optimal value of R is chosen in the stability region of both equations (36) and (37). Indeed, as can be seen in Fig. 1 both terms are practically constant for $1.5 \text{ GeV}^2 \lesssim R \lesssim 3 \text{ GeV}^2$.

3 Evaluation of the susceptibility χ

The next task is to calculate the susceptibility χ which enters in the expressions for the condensates. For this purpose I use a simple model independent method [10].

Consider the correlators

$$\Psi_{ij}^{(5)}(t = q^2) = i \int d^4x e^{iq \cdot x} \langle 0 | T D_{ij}(x) D_{ji}^\dagger(0) | 0 \rangle \quad (38)$$

where $D_{ij}(x) = (m_j \mp m_i) : \bar{q}_j(x) i(\gamma_5) q_i(x) :$

is the divergence of the vector (axial-vector) current. At zero momentum a Ward identity relates $\Psi_{ij}^{(5)}(0)$ to the quark condensates [11], viz:

$$\Psi_{ij}^{(5)}(0) = -(m_j \pm m_i) \langle \bar{\Psi}_j \Psi_j \mp \bar{\Psi}_i \Psi_i \rangle_0 \quad (39)$$

we have therefore

$$\begin{aligned}
-(m_d - m_u)\langle \bar{d}d - \bar{u}u \rangle_0 &= \Psi_{ud}(0) \\
-(m_d + m_u)\langle \bar{d}d + \bar{u}u \rangle_0 &= \Psi_{ud}^5(0)
\end{aligned} \tag{40}$$

Or $\chi = -2(m_d + m_u)\psi(0)/\Psi^5(0)$ with $\Psi(t) = (m_d - m_u)^2\psi(t)$.

Consider first the scalar correlator which exhibits a cut on the positive t axis running from threshold to ∞ and a contour C similar to the one used previously for the nucleon correlator. The integral over C of the quantity $\psi(t)/t$ gives $\psi(0)$. As before the integration kernel is modified so as to minimize the contribution of the 0^+ continuum, i.e. consider the integral

$$\int_C dt \Delta(t) \psi(t), \tag{41}$$

$$\Delta(t) = \frac{1}{t} - b_0 - b_1.t \tag{42}$$

we have

$$\psi(0) = \frac{1}{\pi} \int_{cut} dt \Delta(t) \psi(t) + \frac{1}{2i\pi} \oint dt \Delta(t) \psi(t) \tag{43}$$

In the first term on the r.h.s. of the equation above the integrand is impossible to model realistically and mostly unknown except for the existence of two isovector 0^+ resonances at $m_1^2 = .97 GeV^2$ and $m_2^2 = 2.10 GeV^2$. It is expected that the overwhelming contribution to this integral over the cut is provided by the interval $.90 GeV^2 \lesssim t \lesssim 2.20 GeV^2$

The choice of the constants b_0 and b_1 such that $\Delta(m_1^2) = \Delta(m_2^2) = 0$ will practically annihilate the contribution of the integral over the continuum so that eq. (42) becomes

$$\psi(0) \simeq \frac{1}{2i\pi} \oint dt \psi_{QCD}(t) \Delta(t) \tag{44}$$

As for the pseudoscalar correlator it can be treated in a similar fashion, the only difference being the presence of a pion pole which is by far dominant so that

$$\Psi^5(0) \simeq 2f_\pi^2 m_\pi^2 \tag{45}$$

Eqs. (40) to (44) yield then

$$\gamma = \frac{\langle \bar{u}u \rangle}{\langle \bar{d}d \rangle} - 1 = \frac{(m_d^2 - m_u^2)}{f_\pi^2 m_\pi^2} \psi(0) \tag{46}$$

with

$$\psi(q^2) = i \int d^4x e^{iq \cdot x} \langle 0 | T(\bar{q}(x) q(x)) (\bar{q}(0) q(0)) | 0 \rangle \tag{47}$$

and give finally for the susceptibility

$$\chi = -\frac{(m_d + m_u)}{f_\pi^2 m_\pi^2} \psi(0) \quad (48)$$

The perturbative part of the correlator eq. (47) is known to 5 loops in addition to 2 non-perturbative terms[12]

$$\psi_{QCD}(t) = A_0 t \ln(-t) + \frac{A_1}{t} + \frac{A_2}{t^2} + \dots \quad (49)$$

where

$$A_0 = -\frac{3}{8\pi^2} \left(1 + \frac{11}{3} a_S + 14.1785 a_S^2 + 77.3535 a_S^3 + 511.696 a_S^4 + \dots\right)$$

$$A_1 = -\frac{1}{8} \langle a_S GG \rangle \left(1 + \frac{16}{9} a(-1 \text{ GeV}^2) + \frac{121}{18} a\right)$$

$$A_2 = \frac{112}{27} \pi^2 a_S \langle \bar{q}q \rangle^2 \quad (50)$$

So that eq. (44) gives

$$\psi(0) = A_0 \left(R - \frac{b_0}{2} R^2 - \frac{b_1}{3} R^3\right) - b_0 A_1 - b_1 A_2 \quad (51)$$

Once again the expression above is stable in the interval $1.5 \text{ GeV}^2 \lesssim R \lesssim 2.5 \text{ GeV}^2$. The value of the susceptibility follows:

$$\chi = 1.03 \text{ GeV}^{-1} \quad (52)$$

4 Results and Conclusions

Before joining pieces together let us look at the values of the condensates which enter in the A_i , B_i and C_i :

$\langle \bar{q}q \rangle$ is obtained from the Gell-Mann, Oakes, Renner relation[13] with the values of the quark masses $m_u = (2.9 \pm .2) \text{ MeV}$, $m_d = (5.3 \pm .4) \text{ MeV}$ [14]

$$4\pi^2 \langle \bar{q}q \rangle = -(.79 \pm .01) \text{ GeV}^3 \quad (53)$$

The standard value is taken for the gluon condensate

$$\langle aGG \rangle = (.012 \pm .006) \text{ GeV}^4 \quad (54)$$

and

$$\langle (\bar{q}q)^2 \rangle = \kappa \langle \bar{q}q \rangle^2 \quad (55)$$

where κ quantifies deviations from factorization.

We have finally

$$U = \frac{M_p^2}{2} (C_0 R (1 - \frac{a_1}{2} R - \frac{a_2}{3} R^2 - \frac{a_3}{4} R^3) + C_1 - a_1 C_2) / (-B_3 R^2 (\frac{1}{2} - \frac{a'_1}{3} R - \frac{a'_2}{4} R^2) - B_7 - a'_1 B_9) \quad (56)$$

Let us look at the sources of uncertainty in the expression above.

The first stems from the incomplete knowledge of the OPE: with our choice of polynomials the numerator of eq. (56) should be augmented by two additional terms $a_2 C_3 + a_3 C_4$ and the denominator by $a'_2 B_{11}$. The higher order condensates C_3, C_4 and B_{11} are of course unknown but it is possible to estimate them using the method of Pade' approximants. It turns out that the error introduced by the neglect of the higher order condensates amounts to no more than 2%.

Another source of uncertainty stems from the choice of the coefficients a'_1, a'_2 (and consequently of the coefficients a_1, a_2, a_3), varying these within reasonable limit in order to deplete the contribution of the interval $2.0 GeV^2 \lesssim t \lesssim 3.0 GeV^2$ introduces an uncertainty of $\sim 6 - 7\%$ in the value of U .

An additional uncertainty of course is the one arising from the values of the condensates themselves.

All these uncertainties however are overwhelmed by the one coming from the indeterminacy in the value of κ which measures the deviation from factorization in the value of the four quark condensate. There is no consensus on the value of this quantity in the literature. Phenomenological estimates [15] place it in the range $1 \lesssim \kappa \lesssim 4$.

With a central value [14] $\delta m = 2.4 MeV$, δM_q is plotted against κ in Fig. 2 where the experimental limits are also shown.

Taking in consideration the uncertainty in δm we see that the experimental value of δM_q is reproduced for

$$1.7 \lesssim \kappa \lesssim 2.3 \quad (57)$$

It is instructive to compare the results obtained to the ones given by use of the exponential damping kernel $P(t) = e^{-\frac{t}{M^2}}$. Expression (56) for U is then replaced by

$$U = \frac{M_p^2}{2} (C_0 M^4 \int dx e^{-x} (x - \frac{M_p^2}{M^2}) - M_p^2 C_1 + (1 + \frac{M_p^2}{M^2}) C_2) / (B_3 M^4 \int_0^{R/M^2} dx x e^{-x} + B_7 - \frac{B_9}{M^2}) \quad (58)$$

which reproduces qualitatively the same results as before but which fail to show good stability in variations of the Borel mass M^2 as can be seen from Fig. 3

To conclude, I have presented an evaluation of the neutron-proton mass difference δM_{np} using polynomial kernels in dispersion integrals tailored to reduce the contributions of the unknown parts of the continua to an extent which allows their neglect and which guarantees the stability of the calculation.

The numerical result depends sensitively on the value of κ which quantifies the deviation of the value of the four quark condensate from the one given by

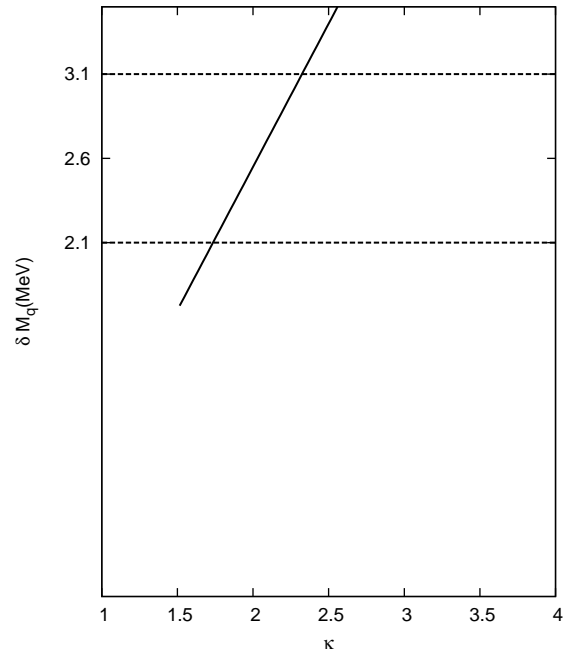


Figure 2: The strong part of the neutron-proton mass difference δM_q as a function of κ

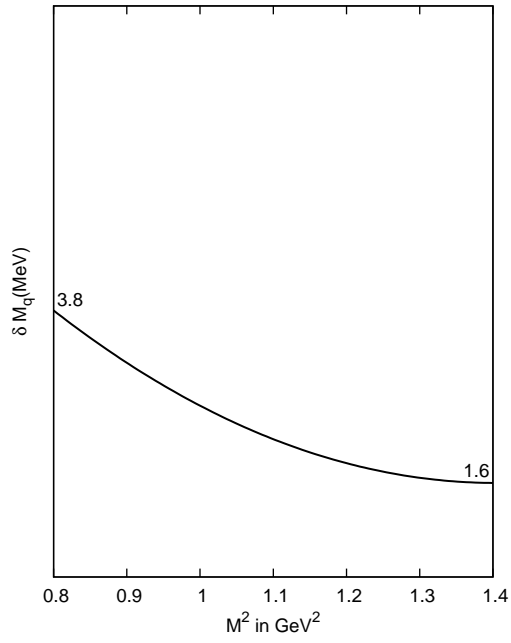


Figure 3: δM_q as a function of the Borel mass M^2 for $\kappa = 2$

the factorization assumption and reproduces the experimental value of δM_{np} for $\kappa \sim 2$.

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